

DECLARATION - USA PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Drug screening method for the treatment of brain damage

the specification of which:

- (a) ☒ is attached hereto; or
- (b) ☐ was filed on _____ as Application No. 0 / _____ or Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or
- (c) ☐ was described and claimed in PCT International Application No. _____ filed on _____ and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) listed below and have also identified below any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed for the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 U.S.C. § 119
			<input type="radio"/> YES <input type="radio"/> NO
			<input type="radio"/> YES <input type="radio"/> NO
			<input type="radio"/> YES <input type="radio"/> NO

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S.A. Application(s)

Application No.: _____ Filing Date: _____ Status: _____

I hereby claim the benefit under Title 35, United States Codes § 119(e) of any United States provisional application(s) listed below.

Application No.: _____

Filing Date: _____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Mingjie ZHANG✓ Inventor's signature  Day 24 Month March Year 2004Residence (city and country): Hong Kong SAR, ChinaCitizenship: CanadianPost Office Address: Tower 9, Flat 7A, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong KongFull name of second inventor: Nikolaus J. SUCHER,

Inventor's signature _____ Day _____ Month _____ Year _____

Residence (city and country): La Jolla, USACitizenship: GermanPost Office Address: 9229 Regents Road, Apt. L-126, La Jolla, CA 92037, USA

Full name of third inventor: _____

Inventor's signature _____ Day _____ Month _____ Year _____

Residence (city and country): _____

Citizenship: _____

Post Office Address: _____

Send Correspondence To:
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Mingjie ZHANG

Inventor's signature _____ Day _____ Month _____ Year _____

Residence (city and country): Hong Kong SAR, China

Citizenship: Canadian

Post Office Address: Tower 9, Flat 7A, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong

Full name of second inventor: Nikolaus J. SUCHER,

Inventor's signature Niki Sucher Day 2 Month April Year 2004

Residence (city and country): La Jolla, USA

Citizenship: German

Post Office Address: 9229 Regents Road, Apt. L-126, La Jolla, CA 92037, USA

Full name of third inventor: _____

Inventor's signature _____ Day _____ Month _____ Year _____

Residence (city and country): _____

Citizenship: _____

Post Office Address: _____

Send Correspondence To:
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Zhang, et al.)
App. No. : Unknown)
Filed : Herewith)
For : Drug Screening Method for the)
Treatment of Brain Damage)
Examiner : Unknown)
_____)

ESTABLISHMENT OF RIGHT OF ASSIGNEE TO TAKE ACTION

AND

REVOCATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

The undersigned is empowered to act on behalf of the assignee below (the "Assignee"). A true copy of the original Assignment of the above-captioned application from the inventors to the Assignee is attached hereto. This Assignment represents the entire chain of title of this invention from the Inventors to the Assignee.

I declare that all statements made herein are true, and that all statements made upon information and belief are believed to be true, and further, that these statements were made with the knowledge that willful, false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that willful, false statements may jeopardize the validity of the application, or any patent issuing thereon.

The undersigned hereby revokes any previous powers of attorney in the subject application, and hereby appoints the registrants of [the name of US associate and address], [Customer No.], as its attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected herewith. This appointment is to be to the exclusion of the inventor(s) and his attorney(s) in accordance with the provisions of 37 C.F.R. § 3.71.

Please use Customer No. 20,995 for all communications.



Dated: 8th March 2004.

By: Prof. Matthew Yuen

Title: Director of Technology
Transfer Center

Assignee : Hong Kong University of
Science and Technology

Address: Technology Transfer Center
Hong Kong University of
Science and Technology, Clear
Water Bay, Kowloon, Hong
Kong

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Application No.: Unknown
Filing Date: Herewith

PATENT
Client Code: WILKG5001AUS
Page 1

ASSIGNMENT

WHEREAS, We, Mingjie ZHANG, a Canadian citizen, residing at Tower 9, Flat 7A, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong; and Nikolaus J. SUCHER, a German citizen, residing at 9229 Regents Road, Apt. L-126, La Jolla, CA 92037, USA, have invented certain new and useful improvements in **Drug screening method for the treatment of brain damage** for which we have executed an application for Letters Patent in the United States, on even date herewith;


AND WHEREAS, Hong Kong University of Science and Technology (hereinafter "ASSIGNEE"), a Hong Kong Educational Institution, with its principal place of business at Technology Transfer Center, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong, desires to acquire the entire right, title, and interest in and to the said improvements and the said Application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

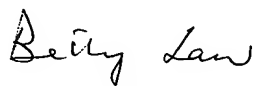
AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

I hereby authorize and request my attorneys, Knobbe, Martens, Olson & Bear, LLP, of 620 Newport Center Drive, 16th Floor, Newport Beach, CA 92660, to insert above the filing date and application number of said application when known;

SIGNED this 24 day of March 2004.

✓ 

Mingjie ZHANG



Witnessed by:-

SIGNED this _____ day of _____ 2004.

Nikolaus J. SUCHER

Witnessed by:-

Application No.: Unknown
Filing Date: Herewith

COPY

PATENT
Client Code: WILKG5.001NS
Page 1

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ASSIGNMENT

WHEREAS, We, Mingjie ZHANG, a Canadian citizen, residing at Tower 9, Flat 7A, Hong Kong University of Science and Technology, Clear Water Bay, Kowloon, Hong Kong; and Nikolaus J. SUCHER, a German citizen, residing at 9229 Regents Road, Apt. L-126, La Jolla, CA 92037, USA, have invented certain new and useful improvements in **Drug screening method for the treatment of brain damage** for which we have executed an application for Letters Patent in the United States, on even date herewith;

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NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said inventors, do hereby acknowledge that we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title, and interest throughout the world in, to and under the said improvements, and the said application and all provisional applications relating thereto, and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all rights of priority under International Conventions and applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

I hereby authorize and request my attorneys, Knobbe, Martens, Olson & Bear, LLP, of 620 Newport Center Drive, 16th Floor, Newport Beach, CA 92660, to insert above the filing date and application number of said application when known;

SIGNED this _____ day of _____ 2004.

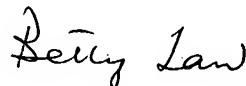
Mingjie ZHANG

Witnessed by:-

SIGNED this 2 day of April 2004.



Nikolaus J. SUCHER



Witnessed by:-